

**FEE TRANSMITTAL**  
**for FY 2003**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)**180.00**Complete if Known**

Application Number	09/340,595
Filing Date	June 28, 1999
First Named Inventor	Osvaldo Podhajcer
Examiner Name	Mary M. Schmidt
Group Art Unit	1635
Attorney Docket No.	1581.0300002/RWE/B-C

**METHOD OF PAYMENT (check all that apply)**☐ Check ☒ Credit card ☐ Money Order ☒ Other\*\* ☐ None☐ Deposit Account  
Deposit Account Number 19-0036

Deposit Account Name Sterne, Kessler, Goldstein &amp; Fox P.L.L.C.

**The Commissioner is authorized to: (check all that apply)**☒ Charge fee(s) indicated below ☒ Credit any over payments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, **except for the filing fee** to the above-identified deposit account.

\*\* Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1105	160	2005	80	Provisional filing fee	

**SUBTOTAL (1) (\$)**0-**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Extra	Fee from below	Fee Paid
Total Claims _____ - 20** = _____ X _____ = _____		
Indep. Claims _____ - 3** = _____ X _____ = _____		
Multiple Dependent _____ = _____		

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)**0-

\*\*or number previously paid, if greater. For Reissue, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

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1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination
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8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))
1801	750	2801	375	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)**180.00**SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)	Lawrence J. Carroll, Ph.D.	Registration No.	40,940	Telephone	202-371-2600
Signature	<i>Lawrence J. Carroll</i>	Attorney Agent		Date	February 3, 2003

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit from the U.S. Patent and Trademark Office (USPTO) to process an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing its burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Application No.	Application No.	Serial No.	IDON Customer No.
09/340,595			
Patent No.	Patent No.	Registration No.	
Attorney Docket No.		Identify or Describe Mark	
1581.03000002/RWE/B-C			

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Goldstein Fox

February 3, 2003

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BCARROLL@SKGF.COM

**Via Handcarry to:**

**Examiner Mary M. Schmidt/Art Unit 1635**

Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Utility Patent Application  
Appl. No. 09/340,595; Filed: June 28, 1999  
For: **Compositions and Methods for Tumor Therapy**  
Inventors: Podhajcer *et al.*  
Our Ref: 1581.0300002/RWE/B-C

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Fee Transmittal (PTO/SB/17);
2. Second Supplemental Information Disclosure Statement;
3. Two (2) pages of Form PTO-1449 citing two (2) references;
4. A copy of the two (2) cited references;
5. Form PTO-2038 Credit Card Payment Form for \$180.00 to cover the fee for filing an Information Disclosure Statement; and
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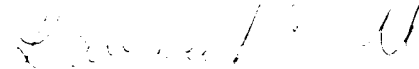
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Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Lawrence J. Carroll, Ph.D.  
Agent for Applicants  
Registration No. 40,940

RWE/B-C:law  
Enclosures

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SKGE Rev. 2 15 02 dew



# Fax

☐ Urgent   ☐ Return reply requested   ☐ Original will be sent as confirmation

To: U.S. Patent & Trademark Office

Date: February 3, 2003

Attention: Examiner Mary M. Schmidt

Re:

U.S. Utility Patent Application

Appl. No. 09/340,595; Filed: June 28, 1999

From: Robert W. Esmond *RWE*

For: **Compositions and Methods for Tumor Therapy**

Inventors: Podhajcer *et al*

Pages (including cover sheet): 11

Fax No: 703-746-5264

Our Reference: 1581.0300002

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A copy of the hand-carried documents follows.

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Jason D. Ebenberg

\* Admitted only in Maryland  
\* Admitted only in Virginia  
\* Admitted only in Texas

February 3, 2003

WRITER'S DIRECT NUMBER:  
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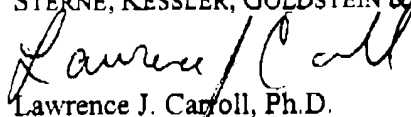
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Page 2

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Lawrence J. Carroll, Ph.D.  
Agent for Applicants  
Registration No. 40,940

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Enclosures

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SKGF Rev 2/15/02 dcw

# FEE TRANSMITTAL for FY 2003

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☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$180.00)

## Complete If Known

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Filing Date	June 28, 1999
First Named Inventor	Oswaldo Podhajcar
Examiner Name	Mary M. Schmidt
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\* Reduced by Basic Filing Fee Paid

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## SUBMITTED BY

Name (Print/Type) Lawrence J. Carroll, Ph.D.  
Signature *Lawrence J. Carroll*

Registration No. (Attorney/Agent) 40,940

## Complete (if applicable)

Telephone 202-371-2600

Date February 3, 2003

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